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INTERIM TECHNICAL REPORT

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14. ABSTRACT The overarching objective of this effort is to provide a foundation for an affordable, ultra-dense, low-power computer system for processing spatio-temporal data on the fly. This includes construction of a mixed mode (including analog and digital circuits) neuromorphic computing system built for rapid configuration, dynamic adaptation, low-power operation, and that is well suited for processing spatio-temporal data. Neuromorphic or neuro-inspired computer architectures are particularly worthwhile given the increasing number of big data problems requiring techniques and systems that can capture knowledge from an abundance of data. Thus, the proposed memristor-based dynamic adaptive neural network array (mrDANNA) addresses contemporary application challenges while also enabling continued performance scaling.					
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1. Summary

The overarching objective of this effort is to provide a foundation for an affordable, ultra-dense, low-power computer system for processing spatio-temporal data on the fly. This includes construction of a mixed mode (including analog and digital circuits) neuromorphic computing system built for rapid configuration, dynamic adaptation, low-power operation, and that is well suited for processing spatio-temporal data. Neuromorphic or neuro-inspired computer architectures are particularly worthwhile given the increasing number of big data problems requiring techniques and systems that can capture knowledge from an abundance of data. Thus, the proposed memristor-based dynamic adaptive neural network array (mrDANNA) addresses contemporary application challenges while also enabling continued performance scaling.

2. Background

The Resistive Random Access Memory (ReRAM, aka - memristor) is a novel form of non-volatile memory expected to replace a variety of current memory technologies and enabling the design of new circuit architectures. Investigations of ReRAM as a storage technology have shown a combination of high storage density with fast access and write speeds [1,2]. In addition, the implementation of new circuit architectures, in particular encryption technologies into hardware components, has attracted much attention. Recently, the endurance and reliability of ReRAM cells have reached a level at which they are competing with commercially available Flash memory and CMOS technologies, making ReRAM a viable candidate for data storage and novel logic and security architectures. To this end, we have demonstrated a vertically-integrated process flow for fabrication of hybrid CMOS logic and ReRAM.

Our memristive Dynamic Adaptive Neural Network Array (mrDANNA) is based on the Neuroscience-Inspired Dynamic Architecture (NIDA) [1-5], developed by researchers at the University of Tennessee, Knoxville (UTK) as an approach to applying neuromorphic principles to a wide variety of applications. Key features of the NIDA architecture include: 1) a spiky representation of data, 2) the ability for the system to adapt during run-time, and 3) a synaptic representation including delay distance as well as weight information. The inclusion of delay distance (i.e. a programmable delay between pre- and post-synaptic neurons) is expected to be of particular benefit in the processing of spatio-temporal data. The structure and simplicity of the NIDA architectural model has recently been leveraged in the development of a Dynamic Adaptive Neural Network Array (DANNA) [6], an efficient digital system constructed from a basic element that can be configured to represent either a neuron or a synapse. Unique characteristics of the NIDA/DANNA approach over other neuromorphic or neuroscience-inspired systems include: a simplified neuron model, a higher functionality synapse model, real-time dynamic adaptability, configurability for the overall neuromorphic structure (e.g. number of neurons, number of synapses and connections), and scalability for element performance and system capacity.

3. Results and Discussion

An Evolutionary Optimization (EO) environment has been developed at UTK to configure the neural networks in a DANNA [1-6]. The EO trains over parameters of the network (weights and delay distances on synapses and thresholds on neurons) as well as the structure (the number and placement of neurons and synapses) and the dynamics of the network. The dynamics of the network are directly embedded in the structure itself (delays in the synapse and charges in the neuron). Most other artificial neural network implementations have a predefined, fixed structure rather than one determined by a dynamic optimization method as in our approach.

Memristive synapses have been interfaced with CMOS neurons in [7-8] and a cellular non-linear network based on memristors was proposed in [9]. Memristors were used to build non-volatile two-level and multi-level memories in [10] and [11-13], respectively. Memristors can also be used in digital logic as programmable switches in switching blocks [14] and to build block memories. To implement the proposed mrDANNA test chip, we are using a hybrid CMOS/Memristor process that we have developed at CNSE / SUNY Polytechnic Institute. The process integrates metal-oxide memristors in the metal layers of the 65 nm 10LPe CMOS process from IBM, leading to a seamless CMOS/memristor integration process. The seamless integration of CMOS with memristive technology is a unique feature as compared to related efforts where memristive devices are integrated post-fabrication on an existing CMOS chip. The current state of device development shows highly reliable devices performing with an endurance of over 450k cycles in a two resistive state switching mode. An average LRS and HRS of $7\text{k}\Omega$ and $40\text{k}\Omega$, respectively, were observed during pulsing measurements. The on/off ratio and LRS are likely to increase, which is critical for low power operation, by manipulating the thicknesses and stoichiometries in the ReRAM device film stack. The devices show an excellent readout stress insensitivity, with the resistive states being unsusceptible to trillions of nanosecond pulse readouts. In addition, a low positive temperature dependence ($5.9\text{e-}4\text{ }1/\text{C}$) results in little change to the circuit performance over a large range of temperatures. Recent results also indicate capability for controllable analog/multi-level switching in our memristive devices, which is key for their application

as synapses in the mrDANNA. This work briefly illustrates our technological capabilities and design approach towards the implementation of the final mrDANNA prototype.

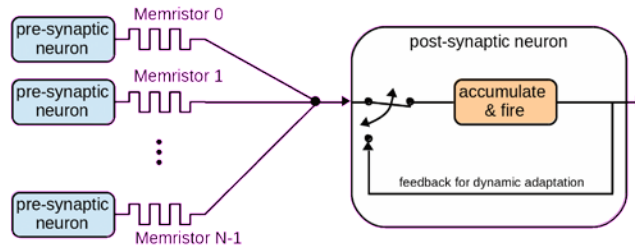


Figure 1. Simplified view of two-stage mrDANNA including several pre-synaptic neurons driving a single post-synaptic neuron through memristor based synapses. The local feedback loop in the neuron enables dynamic adaptation where memristor weights are updated during run time.

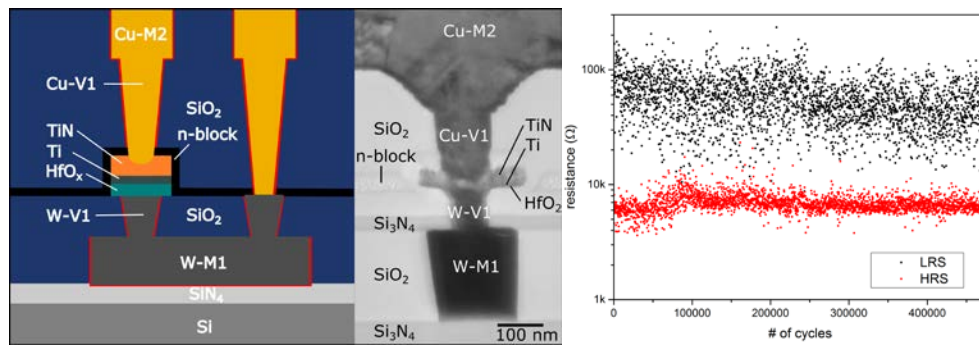


Fig. 2. Schematic of memristor devices fabricated at CNSE, showing tungsten (W) bottom contacts for integration with CMOS (left). Consistent switching parameters have been achieved with these devices, including reproducible LRS resistance for $>4 \times 10^5$ cycles (right).

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doi:10.1021/nl203687n

Appendix 1. Patents and Papers

Patents:

- “Hardware based random number generator.” U.S. Patent 8,680,906 B1, March 25, 2014.
- “Computation of Boolean formulas using sneak paths in crossbar computing.” Submitted.

Proceedings Papers:

- N. C. Cady, K. Beckmann, H. Manem, M. E. Dean, G. S. Rose, and J. Van Nostrand, “Towards Memristive Dynamic Adaptive Neural Network Arrays,” Invited, *41st Annual GOMACTech Conference*.
- K. Beckmann, J.S. Holt, J.O. Capulong, Z. Alamgir, S. Lombardo, J.E. Van Nostrand, N.C. Cady. Endurance and reliability of hybrid CMOS/ReRAM for data storage and encryption applications. *GOMACTEC Conference, March 2014, St. Louis, MO*.
- K. Beckmann, J. Holt, J.O. Capulong, S. Lombardo, J.E. Van Nostrand, N.C. Cady. Reliability of fully-integrated nanoscale ReRAM/CMOS combinations as a function of on-wafer current control. (2014) IEEE International Integrated Reliability Workshop 2014.